SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 1. Name and Address of Reporting Person* STATEMENT OF CHA Filed pursuant to Sec or Section 30(I

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	lress of Reporting			ssuer Name and T I <mark>M Commerc</mark>		• •		ationship of Reporti k all applicable) Director	0 ()	Issuer Owner	
(Last) 4700 WILSHI	(First) IRE BLVD	(Middle)		Date of Earliest Tra /16/2021	nsaction (Mor	nth/Day/Year)		Officer (give title below)	Othe belo	er (specify w)	
(Street) LOS ANGELES	СА	90010	4.1	f Amendment, Date	of Original F	iled (Month/Da	6. Indi Line) X	dividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	n-Derivative	Securities Ad	auired. D	isposed o	f. or Be	eneficially	v Owned		
1. Title of Security (Instr. 3) 2. Transac Date		Transaction	Execution Date, Transaction Disposed Of (D) (Instr. 3, 4					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	of Indirect	

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Common Stock	09/16/2021	р		21,400	A	\$6.8241 ⁽¹⁾	100,591	I	CIM Real Assets & Credit Fund ⁽³⁾⁽⁴⁾
Common Stock	09/17/2021	Р		21,400	A	\$6.6672 ⁽²⁾	121,991	I	CIM Real Assets & Credit Fund ⁽³⁾⁽⁴⁾
Common Stock							7,806,121	I	CIM Service Provider, LLC ⁽⁴⁾⁽⁵⁾
Common Stock							473,033	I	CIM Urban Sponsor, LLC ⁽⁴⁾⁽⁵⁾
Common Stock							613,743	I	CIM Capital, LLC ⁽⁴⁾⁽⁵⁾
Common Stock							122,628	I	See footnote (6) ⁽⁶⁾

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Secu Acqu (A) of Dispo of (D)	vative rities lired r osed) r. 3, 4	6. Date Exerc Expiration D (Month/Day/)	7. Title and		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported reflects the weighted average price of shares purchased in the open market at prices ranging from \$6.69 to \$6.92 per share. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

The price reported reflects the weighted average price of shares purchased in the open market at prices ranging from \$6.53 to \$6.86 per share. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 CIM Capital IC Management, LLC, the investment advisor of CIM Real Assets & Credit Fund, is a wholly owned subsidiary of CIM Group, LLC.

5. Chi Capital IC Management, LLC, the investment advisor of Chin Real Assets & Creati Fund, is a wholly owned substituary of Chin Group, LLC.

4. The reporting person may be deemed to beneficially own all of these shares because of his position with CIM Group, LLC. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

5. CIM Group, LLC is the sole equity member of the entity through which these shares are held.

6. Shares are held by the subsidiary of a trust formed by the reporting person for the benefit of his family members for which the reporting person serves as trustee.

 /s/ David Thompson, attorney
 09/17/2021

 in fact
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.